



ORC

Ongoing Manager Monitoring

Raising Institutional Investment Standards

ORC



Nigel Morriss, CEO

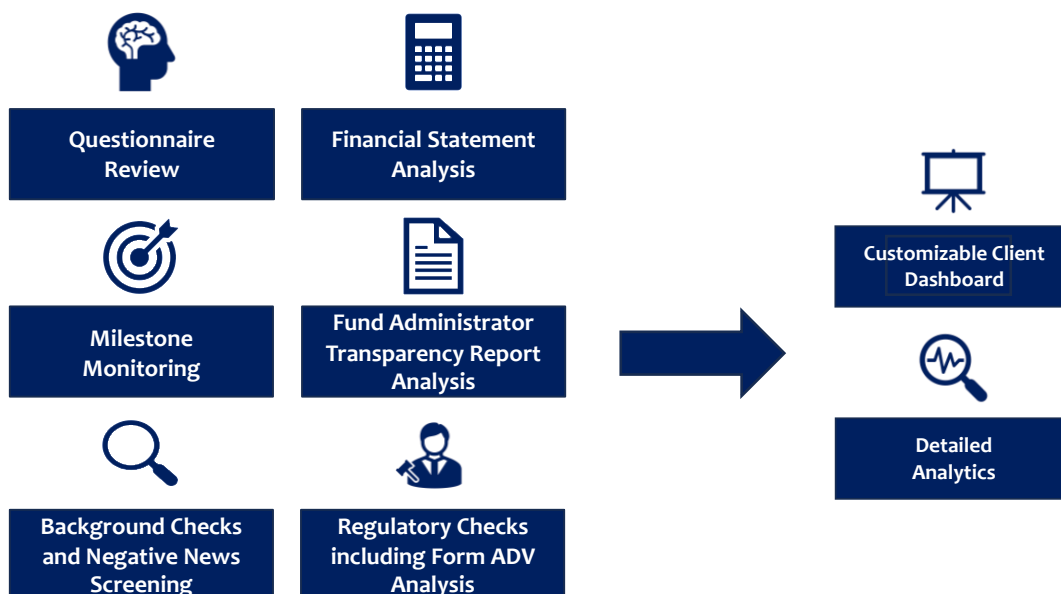
Our Services

“Enriched by our combined 100 years ODD Experience, ORC’s systematic approach to ongoing monitoring gives our clients a strategic advantage in navigating the ever-changing landscape of operational risk”



Cutting Edge Ongoing Monitoring Solutions

ORC actively manages ongoing monitoring through a **fully managed solution** that combines **sophisticated automation with a qualitative overlay** from our senior team of highly experienced ODD practitioners. Our solution incorporates the following analyses into a fully customizable dashboard:



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Benefits of Ongoing Monitoring

Evolving operational risks require vigilance from asset allocators. ORC partners with clients to monitor identified risks, remediation activities and to assess emerging risks. We provide clients with a dynamic view of their current risk landscape, highlighting managers that require further attention.



**Promoting Preservation
of Capital**



**Ensuring Alignment with
Investment Strategy &
Objectives**



**Monitoring Compliance and
Regulatory Considerations**



**Early Detection
of Issues**

Our automated Ongoing Monitoring Solution will help to remove administrative burden for our clients.



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Our Competitive Advantage



Senior Team of Expert Practitioners

Over 100 years of combined experience

Practical experience working at leading Asset Owners and Managers



Extensive ODD Experience

ODD advisors to leading financial institutions

Approximately 100 ODDs performed in 2023



Global Reach

Completed **complex global** due diligence projects

Relationships with leading **Asset Managers**

Our Clients



Development Banks & Funds



Sovereign Wealth Funds



Fund of Funds



Government Related Funds



Asset Managers



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We are ODD Specialists

ORC is **not a generalist consultancy**, it is a respected advisory leader and an expert in the due diligence field, with its senior team having been involved in thousands of global ODD and ongoing risk monitoring reviews across asset classes.

Improve financial services infrastructure through promoting **global best practice standards**

Cutting-edge ongoing risk monitoring solutions for leading Asset Owners

Rigorous governance to ensure diligent monitoring of risk remediation action implemented by Managers

Unique, robust model that allows for **high volumes of ongoing monitoring exercises**

Conducting ongoing monitoring **in-line with the remediation timelines** provided during the initial ODD

Key risk metric trends and statistics are shared with clients

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Systematic Solution

Our Ongoing Monitoring Solution offers a specialized and automated tool for management of questionnaires, automated scoring and raising risk findings to our clients.



Bespoke Automated
Questionnaires



Red
Flags



Automated
Scoring



Interactive Client
Dashboards

Analytics, risk findings and milestones set with the client can be actively tracked on the customizable client dashboard.

Experienced Qualitative Overlay

ORC combines a qualitative overlay to our quantitative assessment to provide our clients with a strategic advantage to ongoing monitoring.



Questionnaire
Review



Financial Statement
Analysis



Milestone
Monitoring



Fund Administrator
Transparency Report
Analysis



Background Checks
and Negative News
Screening



Regulatory Checks
including Form ADV
Analysis

ORC's team of experienced ODD professionals **adds value above and beyond the automation**, Supporting our clients in identifying the **real operational risks** they are exposed to.



Bespoke Technological Solution

Our Ongoing Monitoring Solution has been designed in collaboration with our strategic partner, Dasseti, a Nasdaq ventures-backed company, to create an industry-leading solution.



Customizable Client Dashboard



Detailed Analytics

Our solution is flexible and can be used for multiple different use cases:



Investment Due Diligence



Portfolio Companies

Preparing Clients for ODD Meetings

ORC supports clients with in-house ODD teams that require additional capacity. Using both automation and extensive experience, we provide comprehensive preparation for ODD meetings conducted by our clients.



Identified or Potential Risks



Non-Standard Documentation



Deviations from Best Practice



Specific Follow Up Questions for the Manager



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We Offer a Menu of Solutions

ORC supports its clients with the full managed solution by taking comprehensive ownership of the process; however, **ORC understands the need for flexibility.**

ORC can support clients with a bespoke service allowing them to **choose the most relevant parts of this service** for its own needs.

ORC offers a Suite of Services, which can be Selected at the Client's Discretion



Questionnaire
Review



Milestone Monitoring



Background Checks
and Negative News
Screening



Financial Statement
Analysis



ODD Meeting
Preparation



Fund Administrator
Transparency Report
Analysis



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Driving Change in the Investment Landscape



Leveraging an **unparalleled network and extensive experience** advising leading financial institutions to close gaps in investment operations



Partnering with clients to **bring solutions to complex challenges**



Challenging the status quo, aligning with global standards, and **driving change in the Asset Management industry**



A **leading provider of financial advisory services** to financial institutions



Maria Long
Head of ODD

“Our unique approach to ongoing risk monitoring provides flexibility for our clients. Providing a complete ongoing risk assessment or providing support to in-house teams.”



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